



# Giri Institute of Development Studies

(A National Research Institute of ICSSR-Ministry of Education, Govt. of India & Govt. of Uttar Pradesh – through Planning Department)

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## Ordinance for Doctor of Philosophy (Ph.D.) Programme

(Minimum Standards and Procedures for the Award of PhD Degree- 2025)

In these Regulations, unless otherwise specified, the following terms would mean-

- University means Ram Manohar Lohia National Law University (RMLU)
- Institute means Giri Institute of Development Studies.
- Commission means University Grants Commission.
- Degree means Degree of Philosophy (abbreviated as PhD).
- GIDS Research Committee (abbreviated as GRC) means committee constituted by GIDS to oversee and supervise the implementation of PhD Regulations.
- Research Advisory Committee (abbreviated as RAC) means committee constituted to oversee the progress of Individual Research Scholar.
- Interdisciplinary Research means research conducted by a PhD scholar in two or more academic disciplines.
- External Examiner means an Academician/Researcher with published research work who is not part of GIDS/RMLU, where the PhD scholar has registered for the PhD Programme.
- Plagiarism means the practice of taking someone else's work or idea and passing them as one's own.
- AI means Artificial intelligence.
- Course Work means course of study prescribed by GIDS to be undertaken by a student registered for the PhD Degree
- Re-registration means continuation of registration after completion of the maximum period and the candidate need not appear for course work again.
- Viva Voce means an oral examination where a PhD candidate defends their completed thesis in front of a panel of experts.

### 1.Preamble

The Giri Institute of Development Studies (GIDS), Lucknow, in academic collaboration with Dr. Ram Manohar Lohiya National Law University (RMLNLU), Lucknow, offers a Doctor of Philosophy (Ph.D.) Programme in selected disciplines of Social Sciences. This detailed Ordinance outlines the objectives, scope, eligibility, admission process, course structure, evaluation, supervision, thesis submission, and other academic regulations. It ensures adherence to the highest academic and ethical standards in research, in compliance with UGC regulations 2009 as amended in 2016 and again in 2022.

## **2. Objectives**

The objectives of the Ph.D. Programme are to:

- Promote advanced research in Social Sciences with interdisciplinary perspectives.
- Develop analytical, theoretical, and methodological skills in scholars.
- Contribute to policy-relevant research for social and economic development.
- Build capacity for independent and original research.
- Facilitate academic collaboration between GIDS and RMLNLU.

## **3 Scope**

This Ordinance applies to the Ph.D. Programme offered jointly by GIDS and RMLNLU. The programme covers full-time and part-time PhDs in the disciplines of Economics, Political Science, Sociology, Geography, Management, and allied Social Science fields. GIDS encourages interdisciplinary work in Agriculture and Rural Development, Labour Studies, Urban Studies, Politics, Governance and Policy Studies, International Relations, and Gender, Minority, and Marginality Studies.

## **4 Eligibility Criteria for Admission to the Ph.D. Programme**

The following are eligible to seek admission to

### **4 (A) Full-Time Ph.D:**

1. Candidates who have completed: 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
2. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS).
3. Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification

from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS).

4. Candidates with UGC-NET/JRF qualifications are eligible and will be given preference.
5. Foreign degrees must be recognized by an appropriate accreditation agency.

#### **4 (B) Part-time PhD:**

1. Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
2. Candidates applying for Part time PhD shall obtain a “No Objection Certificate” through for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - a) The candidate is permitted to pursue studies on a part-time basis.
  - b) His/her official duties permit him/her to devote sufficient time for research.
  - c) If required, he/she will be relieved from the duty to complete the course work.
3. Candidates interested in pursuing part-time PhD must be a working professional with a minimum of five years of relevant experience.

#### **5. Duration of the Programme**

1. Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
2. A maximum of an additional two (2) years can be given through a process of re-registration. However, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
3. A female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
4. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

## 6. Admission Procedure

1. Admission to the Ph.D. programme shall be made using the following methods:
2. GIDS shall advertise Ph.D. admission notification in advance on its website and through advertisement in at least two national newspapers, of which at least one shall be in Hindi.
3. Shortlisting based on eligibility criteria would be done by GIDS.
4. Admission will be through a PhD Entrance Examination conducted at GIDS comprising of three components viz written test (MCQs), Presentation of Research Proposal and Interview. In the Entrance examination a weightage of 50% would be given to the written test, 30 % to Research Proposal and 20% to the performance in the interview/viva- voice.
5. The Written Test syllabus shall consist of 50% of research methodology, and 50% shall be subject- specific.
6. Students who have secured 50 % marks in the written test are eligible to be called for Research Proposal Presentation. A relaxation of 5% marks will be allowed in the written examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS).
7. GIDS may decide the number of eligible students to be called for Research Proposal Presentation and interview based on the number of Ph.D. seats available.
8. All qualified candidates shall be required to present a Research Proposal before an Expert panel. Expert panel shall comprise of GIDS Director, GIDS PhD Coordinators, an external expert and one internal expert of the subject as nominated by the Director and one expert from RMLU as nominated by its Vice Chancellor. Proposal presentation shall be followed by general interaction/Interview to assess subject knowledge, research aptitude, and motivation, by the expert panel
9. In the interview, candidates are required to discuss their research interest/area and the following points should be ensured:
  - a) The candidate possesses the competence for the proposed research.
  - b) The research work can be suitably undertaken at GIDS.
  - c) The proposed area of the research shall contribute to new/addition of knowledge.
10. Final merit list shall be based on Written Test Score (50%), Research Proposal Presentation Score (30%), and Interview Score (20%) that shall be published on the Institute's website.
11. Candidates who have already cleared NET, JRF holders and who apply for Part-time PhD shall remain exempt from the written test but must present their proposal and attend the interview.
12. Foreign national whether self-financing or scholarship holder who is admitted through the embassy/high commission of her/his country or admitted under an MoU with the foreign

institutions shall also remain exempt from written test but shall have to fulfill all other criterions.

13. GIDS shall follow the reservation policy of Rammanohar Lohia Law University for its PhD Programme. The reserved category candidates, shall be required to produce the recent Category Certificate, issued by the Central/State Government at the time of applying for the admission.
14. GIDS reserves the right to decide the number of seats to be filled in each, and the criteria for screening of applications, for admission to its PhD Programme.
15. GIDS shall maintain a list of Ph.D Supervisors (specifying the name of the registered Ph.D scholar, her/his designation), along with the details of Ph.D scholars (specifying the name of the registered Ph.D. scholar, the topic of her/his research and the date of admission) admitted under them on the website of the University and update list regularly.

## 7. Registration

1. Selected candidates will be registered with RMLNLU as per its Ph.D. regulations.
2. GIDS will manage the admission process, coursework, its evaluation, and representatives of RMLNLU as nominated by its Vice Chancellor, will remain part of each expert panel constituted for the purpose of screening, admission and evaluation
3. **The fee structure for the part time and full time PhD through GIDS is as follows:**
  - Application Fee: Rs. 800/- (Rs. 400/- for SC/ST/PWD/EWS).
  - Admission Fees: ₹1,000 (one-time payment)
  - Registration Fees: ₹500 (one-time payment)
  - Affiliation fee: ₹5000 (one-time payment)
  - Exam Fees ₹900 per semester

Other Fees:	Semester-wise Fees:	Yearly Fees:
Caution Fee: ₹1000 (one-time payment) Library Fees: ₹1000 (one-time payment)	Semester 1: ₹9,000 Semester 2: ₹9000 Semester 3: ₹9000 Semester 4: ₹9000 Semester 5: ₹9,000 Semester 6: ₹9,000	First-Year Fees: ₹28,300 Second-Year Fees: ₹18000 Third-Year 3: ₹18000

## **8. Research Committees and its Functions.**

1. GIDS shall have one apex Advisory Committee for Research that will overview the PhD programme at the Institute. This Committee shall be called the GIDS Research Advisory Committee (GRC). GRC shall comprise of the Director who would be the convener, the PhD coordinator at GIDS, one senior faculty member. (Professor/Associate Professor), one Assistant Professor and one representative of RMLU as appointed by the Vice Chancellor.
2. Apart from GRC there shall be a Research Advisory Committee (RAC) for each Ph.D. scholar. RAC shall comprise of the Research Supervisor, two expert members invited by the supervisor from inside or outside the Institution. The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities:
  - a. To review the Research Proposal and finalize the topic of research.
  - b. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
  - c. To periodically review and assist in the progress of the research work of the Ph.D. scholar.
3. Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee (RAC) to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to GRC. A copy of such recommendations shall also be provided to the Ph.D. scholar.
4. In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to GRC, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

## **9. Research Supervision**

1. Supervisors must be recognized under RMLNLU Ph.D. Regulations.
2. Permanent faculty members working as Professor/Associate Professor of GIDS and RMLU with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors GIDS and RMLU with a Ph.D.,

- and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the GIDS/University where the faculty member is employed.
3. A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than eight (8) Ph.D. Scholars. An Associate Professor as Research Supervisor can guide up to a maximum six (6) Ph.D. Scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. Scholars other than super numeric seats.
  4. Co-supervisors may be appointed for interdisciplinary research.
  5. Co-Supervisors from GIDS, RMLU or any other institutions/university may be permitted with the approval of the GRC.
  6. The eligibility of Co-Supervisor should be the same as that of Research Supervisor. A Co-Supervisor should not be below the rank of Assistant Professor. A maximum of two Co-Supervisors are allowed. Co-Supervisors could not be changed ordinarily.
  7. Contractual faculty members/ Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisor, if approved by GRC.
  8. The faculty members of GIDS/RMLU who are enrolled as Ph.D. Scholars anywhere cannot act as a Ph.D. Supervisor/Co-Supervisor.
  9. An interaction meet would be organized between the selected candidates and proposed supervisors for mutual understanding. A consent letter from the proposed Research Supervisor and Co-Supervisor (s) is to be put up before the GRC before submission of the first fee. The allocation of the Research Supervisor will be done by GRC before commencement of Ph.D. Course Work.
  10. A Supervisor shall not be allowed to supervise a candidate who is her/his relative whether by blood, adoption or by marriage. (in this ordinance 'relative' means the relations provided in the explanation to section 20 of the U.P. State University Act 1973.
  11. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to GIDS/RMLU and the supervisor for the part of research already undertaken.
  12. A faculty member who has at least three academic years of service before superannuation at the time of allocation of research scholar, can be allowed to enroll and supervise a research

scholar and can continue to be the supervisor of already registered candidates even after her/his superannuation as a co-supervisor after superannuation, but not after attaining the age of 70 years, provided the GRC is convinced of her/his availability for continued guidance to the candidate. A faculty member who has less than three academic years of superannuation cannot be assigned new Ph.D. candidates.

13. On the recommendation of the supervisor, GRC may permit a candidate to do a part of her/his research work at a place outside the University for a period not exceeding two semesters to collect data/process samples and any other requirements of the thesis which are not available at the place of research. In this case, candidate is essentially required to be present before GRC every six months with progress report duly signed by the Supervisors. For rest of the period, the full-time research scholar shall stay at the Institute itself.
14. If a Research Supervisor proceeds on leave for a period exceeding twelve months, he/she will recommend another teacher as Research Supervisor/Research Co-Supervisor to supervise the work of his/her Research Scholar(s). further, if the Supervisor of a candidate proceeds on lien/deputation to another institution for a period of more than twelve months, the GRC may allocate a new supervisor to candidate to complete her/his research work in the institute.
15. If the Research Supervisor of a Research Scholar has expired or leaves the service of the Institute/university before completion of the research work.

OR

If the Research Supervisor is unable to supervise the research work of Research Scholar

OR

It becomes impossible for a Research Scholar to continue his/her research work with the Research Supervisor in case of extreme hardships/circumstances or on valid/genuine grounds.

OR

If both the Research Supervisor and the Research Scholar submit mutual consent in writing on valid/genuine reasons.

OR

If a Research Supervisor Retires from his/ her service before completion of Research work.

16. In all above cases, the Director and Vice-Chancellor of RMLU may allow to change the Research Supervisor/ providing Co-supervisor where the change of Research Supervisor is in the interest of completion of research work of the Research Scholar on the recommendations of the GIDS Research Advisory Committee.
17. No candidate shall be allowed to change Supervisor more than once.

18. International students shall be allowed to take admission to Ph.D. course under exempted category by creating 15% supernumerary seats for international students. These supernumerary seats shall be against total seats allowed to faculty of the Institute.
19. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars.
20. The international students shall be allowed to take admission to Ph.D. course on first come first serve basis.

## **10. Course Work**

1. The Ph.D. coursework is compulsory for all the Research Scholars. They shall be required to undertake specified Ph.D. coursework which will be for a minimum period of one semester and will commence as per schedule notified by the University. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
2. The Ph.D. coursework is a one semester course. 75% attendance for the Ph.D. coursework will be compulsory during the whole semester. Part time PhD candidates will be exempt from 75% attendance. The part time scholars need to complete all assignments in consultation with their Research Supervisors. The coursework can be offered in blended mode with upto 40% in online mode as decided by GIDS in view of nature of subject. However, coursework exams will be in offline mode.
3. On fulfillment of the condition of attendance and assignments the Research Scholar will qualify for the Ph.D. coursework examination. Syllabus and other details for the Ph.D. coursework test shall be framed by GRC.
4. Examination and evaluation of the Ph.D. coursework will be conducted by GIDS.
5. There will be three papers having 4 credits (100 Marks) each as under:
  - a. Paper-I Research Methodology: 4 credits (100 Marks)
  - b. Paper-II Subject Elective: 4 credits (100 Marks)
  - c. Paper-III 4 credits (100 Marks)
6. Paper-III will comprise of the following two activities:
  - a. Research & Publication ethics: 2 credits (50 Marks)

b. Seminar in thrust area/ Research Assignment/ Literature/ Survey, etc. : 2 credits (50 Marks)

c. Marks will be converted into letter grade and grade point as per following table:

<b>7. Marks</b>	<b>8. Letter Grade</b>	<b>9. Grade Point</b>
10. 85-100	11. O	12. 10
13. 75-84	14. A+	15. 9
16. 65-74	17. A	18. 8
19. 55-64	20. B+	21. 7
22. 50-54	23. B	24. 6
25. 41-49	26. C	27. 5
28. 40	29. P	30. 4
31. Less than 40	32. F	33. 0

34. A candidate requires minimum of 55% marks or minimum GPA of 7 and grade point of 6 in each paper/course to qualify the course work.
35. Upon satisfactory completion of course work and obtaining the marks/grade the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
36. Paper setting, conduct of examination and evaluation of Paper-I (Research methodology) and Paper-II (Subject elective) and Paper III (a) (Research & Publication ethics) will be carried out by the GRC.
37. There will be no written test for Paper III (b), however the evaluation of seminar/ Assignment/ literature survey etc. will be done by the RAC. The detailed marks sheet of coursework will be issued by GRC.
38. The syllabus of Paper III (a) Research & publication ethics will be as specified by the UGC.
39. The evaluation of Seminar and Research Assignment/literature/survey etc. will be done by the Research Advisory Committee.
40. In case, a Research Scholar fails in the Ph.D. coursework, he/she shall be given only one more chance to appear in the re-examination of concerned course(s) of the Ph.D. coursework which shall be held after a gap of 3 months from the date of declaration of the result of Ph.D. coursework. In case, if a Research Scholar fails to qualify again in the re-examination, his/her admission shall stand automatically cancelled. A candidate failing to attend coursework will have to seek admission afresh. In exceptional cases, a special chance may be given by Vice-Chancellor of RMLU on the recommendation of GRC after 3 months of approval. However,

research scholar may apply for re-evaluation of the coursework paper to GRC. However, re-evaluation can be done by outside Faculty also if needed with the approval of GRC. The fee of re-evaluation shall be as prescribed by the GIDS from time to time. The research scholar may apply for re-evaluation to GRC along with fee within 20 days from the date of communication of Ph.D. coursework result to the student, after that no re-evaluation will be allowed.

41. A regular employee, who is admitted to a Ph.D. programme in full time mode, of GIDS is required to take leave for attending the Ph.D. coursework classes. Such candidate is required to submit “No Objection Certificate” from his/her respective organization at the time of submission of application form in the prescribed format.
42. The contractual/hired employee of GIDS or RMLU or any other outside organization/outsourcing Agency may pursue the Ph.D. coursework simultaneously with his/her contractual/hired job.
43. The contractual faculty of GIDS can pursue Ph.D. with their contractual job by taking the leave for attending Ph.D. coursework classes. Such candidate is required to submit “No Objection Certificate” from competent authority at the time of submission of application form and submit permission from competent authority at the time of submission of application. They can fulfil stay condition along with their job. Permission from competent authority is required for part time Ph.D. mode also.
44. Part time scholars shall have to maintain contact hours with their supervisors for minimum 180 hours per year during the course of their Ph.D.
45. A full time Research Scholar may be allowed to be converted to a part time research scholar after completion of course work on the recommendations of the GRC in case the scholar is selected to a regular job. In that case, the research scholar must pay the Annual fees, as applicable to a Part Time Research Scholar in the current session, with effect from the date of enrolment. The balance fee is to be deposited after getting the permission to change to Part Time Category.

## **10. Presentation of Seminars and Submission of Progress Reports**

1. The Research Scholar shall present his/her work at three open seminars after his/her registration. 1st seminar may be held after one year gap from the date of recommendations of the research topic by the GRC and 2nd seminar may be held after nine months from the 1st seminar. The Research Scholar shall submit a written application to the concerned GRC for 3rd Seminar (pre-thesis submission seminar) at least one month before the submission of Ph.D. thesis/last date for submission of Ph.D. thesis recommended by his/her Research Supervisor

along with a Certificate issued by his/her Research Supervisor stating therein that the stipulated period of stay has been fulfilled by the Research Scholar and the Institute shall conduct the 3rd pre-submission seminar of Ph.D. thesis within 15 days from the date of receipt of the application from the Research Scholar.

2. The Research Scholar shall make a presentation of his/her research work at the Institute which may remain open to all the Faculty members and research Scholar(s). Research Scholar may submit his/her Ph.D. Thesis after considering the suggestions given in pre-submission seminar in consultation with his/her Research Supervisor.
3. The presence of Director GIDS, research supervisor and co-supervisor and representative of RMLU shall be necessary in pre-submission seminar of Ph.D. Thesis.
4. A Research Scholar shall submit a hard copy of Annual progress report recommended by his/her research supervisor to the Chairperson of GRC or Director of the Institute on annual basis to be placed before the GIDS Research Advisory Committee for evaluation and further guidance.
5. If the work of Research Scholar is found unsatisfactory at any stage as reported by the Research Supervisor(s), the GIDS Advisory Committee shall give him or her an opportunity to explain his/her position and make suitable recommendation including the cancellation of his/her Ph.D. registration.

## **11. Submission of Ph.D. Thesis**

1. Every Thesis shall be a piece of research work characterized either by discovery of new facts or enunciation of a new theory or theories or by fresh interpretation of known facts. In either case, it shall evince the capacity of the Research Scholar for critical examination and judgment. The literary presentation of the Thesis should be of a high standard i.e. concise, laid out logically and in proper sequence, far from grammatical and typographical errors and referenced properly.
2. The Research Scholar may incorporate in Thesis the contents of any work that he/she may have published on the subject but shall declare this fact in the Thesis. However, he/she shall not submit in Thesis any work for which a degree has already been conferred on him/her by any University/Organization.
3. The title of the Ph.D. Thesis of the Research Scholar on the recommendations of the Research Supervisor and approved by GRC within three years of the date of registration. Minor changes

in the title may be allowed by GRC on the recommendations of supervisor at least 1 year prior to the date of submission of Ph.D. Thesis.

4. The Research Scholar shall be allowed to submit his/ her Thesis only after he/ she has published/got accepted two research papers published in a Scopus/Web of Science/SCI/SSCI/ indexed journals.
5. Full research papers published as per the requirement for the Thesis submission shall be appended in the final Thesis submitted in University as approved by GIDS. In addition, full papers published as part of proceedings or an abstract for two papers in conferences/seminars/symposia/workshop/colloquium shall also be appended in the final Thesis submitted in the University along with the certificate of presentations/acceptance. He/she shall also submit a certificate on the prescribed format in respect of the above, issued by the Research Supervisor and Chairperson of GRC/Director of the Institute along with the form for appointment of examiners for verification of particulars (2 sets) filled in by the research scholar to the Ph.D. (Registration) Section preferably 10 days before the submission of Ph.D. Thesis.
6. The Research Scholar shall also submit a summary of the Ph.D. Thesis in about 500 words indicating how far the Ph.D. Thesis embodies the result of his/her own research Or observations and in what respect his/her investigation appears to advance his/her study of the subject of his/her Ph.D. Thesis to the concerned Director of the Institute. When a Research Scholar is ready to submit his/her Ph.D. Thesis for evaluation, he/she shall obtain a certificate from his/her Research Supervisor to this effect and shall apply to the Director for appointment of Examiners for his/her Ph.D. Thesis enclosing an abstract of his/her Ph.D. Thesis including the table of contents.
7. The Research Scholar shall be liable to submit his/her Thesis following the rules and regulations of Academic integrity and prevention of Plagiarism in GIDS and RMLU and they shall also follow the instructions strictly to be issued from time to time in this regard by the University and the Institute.
8. The Research Scholar shall get a report with regard to Plagiarism free Thesis as per UGC rules and regulations of Academic integrity and prevention of Plagiarism and AI and append the report in his/her Ph.D. Thesis before Submission of Ph.D. Thesis.
9. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the GRC which shall also be open to all faculty members and other research scholars/students.

**12. Evaluation and Assessment Methods, Minimum standards/credits for award of the degree, etc.-**

1. GIDS/RMLU shall have a mechanism using well-developed software applications to detect Plagiarism and AI in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.
2. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and AI (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
3. Upon thesis submission the GIDS Research Advisory Committee will draw a list of 8 examiners out of these at least 50% shall be from Outside neighbouring States. The examiners recommended shall be either Professors/Associate Professor or persons of eminence or persons holding equal status and their specialization shall be relevant to the topic of the Ph.D. Thesis.
4. In addition to the above, if the GRC feels that the panel should consist of more than 8 examiners it may recommend additional name(s). The panel of examiners recommended by the GRC shall be valid for nine months. On expiry of the same, it will be re-considered by GRC on the request of the Research Supervisor of the concerned Research scholar.
5. The Thesis shall be finally referred to two examiners selected by the Vice-Chancellor RMLU from the panel drawn by GRC on the recommendation of the Director GIDS, out of which one shall be out of state.
6. The examiner(s) will state categorically in their reports whether in his/her opinion:  
Thesis should be accepted for the award of Ph.D. Degree.  
OR  
It should be referred back to Research Scholar for presenting it again in revised form;  
OR  
It should be rejected.
7. The examiner(s) shall state reasons for approval or resubmission of the Thesis. If he/ she recommends resubmission, he/she shall specifically indicate what modifications he/she wants from Research Scholar to effect and incorporate in the Thesis.
8. The examiner for a Thesis shall indicate in his/her report whether the Thesis is fit for publication in its original or modified form. In the latter case, examiner shall make definite suggestions for improvement.
9. If the examiners recommend the award of Degree, they may also give in their report a set of questions, which they would like to put to the Research Scholar at the time of viva-voce.

10. If the examiner(s) recommends resubmission with some modifications in the Ph.D. Thesis, the Research Scholar shall be asked to modify the Ph.D. Thesis and resubmit the same only once within 6 months, after having carried out all the modifications with a certificate from the Research Supervisor that all the modifications have been carried out.
11. A resubmitted Thesis shall be examined by the examiner(s) who evaluated the original Thesis unless any of them is unable or unwilling to do so. In such case substitute(s) shall be appointed from the panel recommended by the GRC by the Vice-Chancellor. The examiner(s) for the revised Thesis will only see whether the objections raised have been met or not.
12. If one out of two examiners recommends the Thesis as rejected, the Thesis shall be sent to third examiner for evaluation. The decision of the two examiners out of three shall be considered. A Research Scholar whose Thesis is rejected by two examiners shall not be awarded Ph.D. degree. However, the Research Scholar may apply afresh for admission to Ph.D. course.
13. The viva-voce board shall consist of the research supervisor and at least one of the two external examiners and may be conducted online, the viva-voce shall be open to the members of the DRC/faculty members/research scholars and students.
14. The Viva-Voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the Institute shall send the thesis to an alternate examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis, if the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall not be awarded Ph.D degree.
15. The Institute shall make arrangements to complete the entire process of evaluating a Ph.D thesis, including the declaration of the viva-voce result, within a period of six months from the date of submission of the thesis.

### **13. Issuing a Provisional Certificate**

Prior to the actual award of the Ph.D. degree, the University shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations after successful defense of the Ph.D. Viva. (Annexure F)

### **14. Miscellaneous Provisions**

Matters not covered will be decided jointly by Director, GIDS and Vice-Chancellor, RMLNLU. Ordinance amendments require mutual consent. Disputes fall under Lucknow court jurisdiction.

